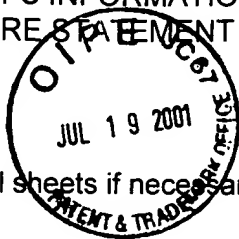


FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

2021P/SVL92001-0002US1

SERIAL NO.

09/894,073

APPLICANT:

Watts

FILING DATE:

June 28, 2001

GROUP:

Unassigned

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
BG	5 8 7 0 7 5 8	FEB 9 1999	Barnford et al.	707/201		MAR 11 1996
BG	5 6 3 0 1 2 4	MAY 13 1977	Coyle, Jr. et al.	395/614		DEC 6 1993
BG	5 4 5 2 4 4 5	SEP 19 1995	Hallmark et al.	395/600		APR 30 1992
BG	5 9 8 3 2 2 5	NOV 9 1999	Anfindsen	707/8		JAN 26 1998
BG	5 4 9 0 2 7 1	FEB 6 1996	Elliott et al.	395/650		JUN 4 1993
BG	6 1 0 5 0 2 5	AUG 15 2000	Jacobs et al.	707/8		MAR 8 1996
BG	6 0 4 7 2 8 5	APR 4 2000	Jacobs et al.	707/4		APR 6 1998
BG	5 2 8 0 6 1 2	JAN 18 1994	Lorie et al.	395/600		NOV 26 1991
BG	5 8 0 6 0 7 5	SEP 8 1998	Jain et al.	707/201		JAN 14 1997
BG	5 7 3 7 6 0 1	APR 7 1998	Jain et al.	395/617		JAN 16 1997

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation
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## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

BG	✓	"Lock Management Architecture," J.A. VanderVeen; <i>IBM Technical Disclosure Bulletin</i> , Vol. 32, No. 9A, Feb. 1990.
BG	✓	"Lock Management Architecture," J.A. VanderVeen; <i>IBM Technical Disclosure Bulletin</i> , Vol. 31, No. 5, Oct. 1988.
BG		"Method to Ensure the integrity of File Operations in a Database Transaction," T. Fukuda, et al.; <i>IBM Technical Disclosure Bulletin</i> , Vol. 38, No. 07, July 1995.

EXAMINER

Brian G. Giddard

DATE CONSIDERED

7/10/2003

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.